

**10/507116**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re New Patent Application of )  
Masafumi FUKUZUMI, et al. )  
Application No. PCT/JP2003/007231 ) Attn: Designated/Elected Office  
Filed: June 6, 2003 )  
For: RARE EARTH SINTERED MAGNET )  
AND METHOD FOR PRODUCTION )  
THEREOF ) Date: September 10, 2004

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. In accordance with the PTO notice dated July 11, 2003, waiving the requirement under 37 CFR 1.98 (a)(2)(i) for submitting copies of each cited U.S. Patent, for all U.S. national patent applications filed after June 30, 2003, no copies of U.S. Patents are enclosed. However, copies of foreign patents and non-patent literature are submitted under 37 CFR 1.98(a)(2).

**U.S. Patent 4,770,723 is cited in line 10, page 2 of the specification.**

**U.S. Patent 4,792,368 is cited in line 10, page 2 of the specification.**

**Japanese Laid-Open No. 04-268051 is cited in line 10, page 6 of the specification.**

**U.S. Patent 5,595,608 is cited in ISR.**

**Japanese Patent Application Laid-Open No. 07-283016 is cited in ISR.**

**Japanese Patent Application Laid-Open No. 2000-286118 is cited in ISR.**

**Japanese Patent Application Laid-Open No. 02-122601 is cited in ISR.**

**Japanese Patent Application Laid-Open No. 09-20953 is cited in ISR.**

**Japanese Patent Application Laid-Open No. 01-219142 is cited in ISR.**

**Japanese Patent Application Laid-Open No. 07-176414 is cited in ISR.**

**Japanese Patent Application Laid-Open No. JP 07-176414 is in the same family as U.S. Patent 5,595,608.**

DT09 PCT/PTO 10 SEP 2004

Docket No: 743421-79

10/507116

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

By: 

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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |  | Complete if Known <b>20 / 507116</b> |                           |           |
|   |  | Application Number                   | Not yet Assigned          |           |
|   |  | Filing Date                          | September 10, 2004        |           |
|   |  | First Named Inventor                 | Masafumi FUKUZUMI, et al. |           |
|   |  | Art Unit                             |                           |           |
| Examiner Name   |  |                                      |                           |           |
| Sheet   |  | of                                   | Attorney Docket Number    | 743421-79 |

| U.S. PATENT DOCUMENTS          |                       |  |                                |  |   |
|--------------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials <sup>*</sup> | Cite No. <sup>1</sup> | U.S. Patent Document                       | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                                |                       | US-5,595,608                               | 01/21/1997                     | Takebuchi et al.                                   |   |
|                                |                       | US-4,792,368                               | 12/20/1988                     | Sagawa et al.                                      |   |
|                                |                       | US-4,770,723                               | 09/13/1988                     | Sagawa et al.                                      |   |

| FOREIGN PATENT DOCUMENTS       |                       |                           |  |                                |  |  |
|--------------------------------|-----------------------|---------------------------|--|--------------------------------|--|--|
| Examiner Initials <sup>*</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|                                |                       | Country Code <sup>3</sup> | Number <sup>4</sup> Kind Code <sup>5</sup><br>(if known) |                                |  |  |
|                                |                       | JP                        | 07-283016  | 10/27/1995                     | Koichi Yajima, et al.                              | Abstract   |
|                                |                       | JP                        | 2000-286118  | 10/13/2000                     | Eiji Kato, et al.                                  | Abstract   |
|                                |                       | JP                        | 02-122601  | 05/10/1990                     | Tsutomu Otsuka                                     | Abstract   |
|                                |                       | JP                        | 09-020953  | 01/21/1997                     | Yuji Kaneko, et al.                                | Abstract   |
|                                |                       | JP                        | 01-219142  | 09/01/1989                     | Hiroshi Nagata, et al.                             | Abstract   |
|                                |                       | JP                        | 04-268051  | 09/24/1992                     | Toshio Ueda, et al.                                | Abstract   |
|                                |                       | JP                        | 07-176414  | 07/14/1995                     |  |  |
|                                |                       |                           |  |                                |  |  |
|                                |                       |                           |  |                                |  |  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials <sup>*</sup>                    | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.